

PTO/SB/21 (08-00)
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TRANSMITTAL		Application Number	09/864,621			
		Filing Date	May 23, 2001			
FORM		First Named Inventor	H. Ralph SNODGRASS RECEIVE		ED	
		Group Art Unit	1633	APR 1 200	12	
(to be used for all correspondence after initial filing)		Examiner Name	To Be Assigned			
Total Number Of Pages In This Submission 6 + 2 Refs.		Attorney Docket No.	441472000110	TECH CENTER 1600	0 290(
ENCLOSURES (check all that apply)						
Fee Transmittal Form		signment Papers r an Application)		After Allowance Communication to Group		
Fee Attached		awing(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply	Lic	ensing-related Papers	· 🗀	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final Peti		tition		Proprietary Information		
Affidavits/declarations		tition to Convert to a ovisional Application		Status Letter		
Pov		wer of Attorney, Revocation ange of Correspondence Ad	dress	Other Enclosure(s) (please identify below):		
		rminal Disclaimer		Form PTO-1449 (1 page in duplicate) 2 cited references		
Express Abandonment Request / Requ		quest for Refund		Return receipt postcard		
Information Disclosure Statement (3 pages)), Number of CD(s)					
Certified Copy of Priority Document(s)						
Response to Missing Parts/						
Incomplete Application Response to Missing Parts						
under 37 CFR 1.52 or 1.53			•	·		
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT						
· · · · · · · · · · · · · · · · · · ·		e Mill Road, Palo Alto, California				
or		•				
Individual Name Cara M. Coburn, Reg	Cara M. Coburn, Reg. No. 46,631					
Signature Una Una						
Date March 20, 2002						
CERTIFICATE OF MAILING BY "FIRST CLASS MAIL" I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on March 20, 2002.						
Martina Placid Martina Placid						

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



PATENT Docket No. 441472000110

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: To Be Assigned

Group Art Unit: 1633

APR 1 2002

TECH CENTER 1600/2900

In the application of:

H. Ralph SNODGRASS

Serial No.:

09/864,621

Filing Date:

May 23, 2001

For:

TOXICITY TYPING USING

EMBRYOID BODIES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO-1449 were cited in an observation by a third party in a related European application. Please note, the international publications WO 97/01644 and WO 97/13877 as well as the US Patent No. 5,736,332 mentioned in the observation were not included on the form 1449 as they were each previously cited in an earlier Information Disclosure Statement for the subject application.

This Information Disclosure Statement is submitted:

\boxtimes	Withi	Within three months of the application filing date or before mailing of a first			
	Offic	e Action on the merits; accordingly, no fee or separate requirements are			
	requi	red.			
	After receipt of a first Office Action on the merits but before mailing of a final				
	Office Action or Notice of Allowance.				
		A fee is required. A check in the amount of * is enclosed.			
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is			
		attached to this submission in duplicate.			
		A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly;			
		no fee is believed to be due.			
	After mailing of a final Office Action or Notice of Allowance, but before payment				
	of the	issue fee.			
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in			
		the amount of is enclosed.			
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee			
		Transmittal form (PTO/SB/17 is attached to this submission in duplicate.			

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to <u>Deposit Account No. 03-1952</u> referencing <u>441472000110</u>. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: March 20, 2002

Respectfully submitted,

Cara M. Coburn

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